

Attorney's Docket No. ENZ-56

Dakai Liu and Elazar Rabbani
Serial No.: Not Yet Assigned
Filed: March 20, 1997
Page 2 (Declaration For Patent Application)

I hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith:

Ronald C. Fedus, Esq., Reg. No. 32,567.

Address all telephone calls to: Ronald C. Fedus, Esq., at telephone no. (212)-583-0100
Address all correspondence to: Ronald C. Fedus, Esq., Corporation & Patent Counsel
Enzo Therapeutics, Inc.
c/o Enzo Biochem, Inc.
527 Madison Avenue - 9th Floor
New York, NY 10022

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true, and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Full name of sole or first inventor Dakai Liu
First Inventor's signature [Signature] Date 3/20/97
Residence 56 Fitchberg Street, Islip, New York 11706 Citizenship P. R. China
Post Office Address (Same as above)

Full name of second joint inventor, if any Elazar Rabbani
Second Inventor's signature [Signature] Date 3/20/1997
Residence 69 Fifth Avenue (No. 19A), New York, New York 10003 Citizenship United States
Post Office Address (Same as above)

Full name of third joint inventor, if any _____
Third Inventor's signature _____ Date _____
Residence _____ Citizenship _____
Post Office Address (Same as above)

Full name of fourth joint inventor, if any _____
Fourth Inventor's signature _____ Date _____
Residence _____ Citizenship _____
Post Office Address (Same as above)



JUNE 13, 1997

PTAS

ENZO THERAPEUTICS, INC.
RONALD C. FEDUS
527 MADISON AVENUE, 9TH FLOOR
NEW YORK, NY 10028



100411235A

UNITED STATES PATENT AND TRADEMARK OFFICE
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PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, NORTH TOWER BUILDING, SUITE 10C35, WASHINGTON, D.C. 20231.

RECORDATION DATE: 03/21/1997

REEL/FRAME: 8481/0912
NUMBER OF PAGES: 3

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:
LIU, DAKAI

DOC DATE: 03/20/1997

ASSIGNOR:
RABBANI, ELAZAR

DOC DATE: 03/20/1997

ASSIGNEE:
ENZO THERAPEUTICS, INC.
60 EXECUTIVE BOULEVARD
FARMINGDALE, NEW YORK 11735-4716

SERIAL NUMBER: 08822963
PATENT NUMBER:

FILING DATE:
ISSUE DATE:

DOROTHY RILEY, EXAMINER
ASSIGNMENT DIVISION
OFFICE OF PUBLIC RECORDS

In consideration of One Dollar (\$1.00), and other good and valuable consideration, the receipt of which is hereby acknowledged, I, the undersigned,

RESIDING AT:

Hereby sell, assign and transfer to: Enzo Therapeutics, Inc., a corporation of the State of New York, having a principal place of business at: 60 Executive Boulevard, Farmingdale, New York 11735-4716, its successors, assigns and legal representatives, the entire right, title and interest for the United States and all foreign countries, in and to any and all improvements which are disclosed in the application for United States Letters Patent, which has been executed by the undersigned concurrently herewith, and is entitled:

(said application having been filed on March 20, 1997 and accorded U.S. Patent Application Serial No. _____)

and in and to said application and all divisional, continuing, substitute, renewal, reissue, and all other applications for Letters Patent which have been or shall be filed in the United States and all foreign countries on any of said improvements; and in and to all original and reissued patents which have been or shall be issued in the United States and all foreign countries on said improvements:

Dakai Liu and Elazar Rabbani
Serial No.: Not Yet Assigned
Filed March 20, 1997 [Assignment]

Agree that said Assignee may apply for and receive Letters Patent for said improvements in its own name, and that, when requested, without charge to but at the expense of said Assignee, its successors, assigns and legal representatives, to carry out in good faith the intent and purpose of this assignment, the undersigned will execute all divisional, continuing, substitute, renewal, reissue, and all other patent applications on any and all said improvements; execute all rightful oaths, assignments, powers of attorney and other papers, communicate to said Assignee, its successors, assigns, and representatives, all facts known to the undersigned relating to said improvements and the history thereof; and generally do everything possible which said Assignee, its successors, assigns or representatives shall consider desirable for aiding in securing and maintaining proper patent protection for said improvements and for vesting title to said improvements and all applications for patents and all patents on said improvements, in said Assignee, its successors, assigns and legal representatives; and

Covenant with said Assignee, its successors, assigns and legal representatives that no assignment, grant, mortgage, license or other agreement affecting the rights and property herein conveyed has been made to others by the undersigned, and that full right to convey the same as herein expressed is possessed by the undersigned.

Dakai Liu
Dakai Liu

3/20/97
(date)

Elazar Rabbani
Elazar Rabbani

3/20/1997
(date)

* * * * *

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Attorney's Docket No. Enz-56

86420-EE04060

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Dakai Liu and Elazar Rabbani
 Serial No.: Not Yet Known
 Filed: March 20, 1997
 For: VECTORS AND VIRAL VECTORS, AND PACKAGING CELL LINES FOR PROPAGATING SAME

Group Art Unit: Not Yet Known
 Examiner: Not Yet Known

VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY STATUS (37 CFR 1.9 (f) and 1.27 (c)) - SMALL BUSINESS CONCERN

I hereby declare that I am

- ☐ the owner of the small business concern identified below:
- ☒ an official of the small business concern empowered to act on behalf of the concern identified below:

NAME OF CONCERN ENZO THERAPEUTICS, INC.
 ADDRESS OF CONCERN 60 EXECUTIVE BOULEVARD
FARMINGDALE, NEW YORK 11735

I hereby declare that the above identified small business concern qualifies as a small business concern as defined in 13 CFR 121.3-18, and reproduced in 37 CFR 1.9(d), for purposes of paying reduced fees under section 41(a) and (b) of Rule 35, United States Code, in that the number of employees of the concern, including those of its affiliates does not exceed 500 persons. For purposes of this statement, (1) the number of employees of the business concern is the average over the previous fiscal year of the concern of the persons employed on a full-time, part-time or temporary basis during each of the pay periods of the fiscal year, and (2) concerns are affiliates of each other when either, directly or indirectly, one concern controls or has power to control the other, or a third party or parties controls or has the power to control both.

I hereby declare that rights under contract or law have been conveyed to and remain with the small business concern identified above with regard to the invention entitled:

VECTORS AND VIRAL VECTORS, AND PACKAGING CELL LINES FOR PROPAGATING SAME

by inventor(s) **DAKAI LIU AND ELAZAR RABBANI**

described in
☒ the specification filed herewith
☐ application Serial No.

☐ Patent No. _____, issued _____

If the rights held by the above identified small business concern are not exclusive, each individual, concern or organization having rights to the invention is listed below* and no rights to the invention are held by any person other than the inventor, who could not qualify as a small business concern under 37 CFR 1.9(d) or by any concern which would not qualify as a small business concern under 37 CFR 1.9(d) or a nonprofit organization under 37 CFR 1.9(e).

*NOTE: Separate verified statements are required from each named person, concern or organization having rights to the invention averring to their status as small entities. (37 CFR 1.27).

NAME _____

ADDRESS _____
☐ Individual ☐ Small Business Concern ☐ Nonprofit

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small business entity is no longer appropriate. (37 CFR 1.28(b)).

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under section 1001 of Title 18 of the United States Code, and that such willful false statement may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

NAME OF PERSON SIGNING RONALD C. FEDUS, ESQ.
 TITLE OF PERSON OTHER THAN OWNER CORPORATION AND PATENT COUNSEL
 ADDRESS OF PERSON SIGNING 527 MADISON AVENUE (9TH FLOOR)
NEW YORK, NEW YORK 10022

SIGNATURE [Signature]

DATE MARCH 20 97

EXPRESS MAIL CERTIFICATE	
Form No.	EM449424054
Deposit Date	MARCH 20, 1997
I hereby certify that this paper and the attachments herein are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.80 on the date indicated above and is addressed to the Commissioner of Patents and Trademarks, Washington DC 20222.	
Ronald C. Fedus	MARCH 20 1997
Reg. No. 32,567	Date

MAR 23-21-97

05-06-1997

08/822963

FORM PTO-1595

1-3:-92
(Modified)

To the Honorable Commissioner of

100411235

attached original documents or copy thereof.

1. Name of conveying party(ies):

Dakai Liu and Elazar Rabbani

Additional name(s) of conveying party(ies) ☐ Yes ☐ No

2. Name and address of receiving party(ies):

Name: Enzo Therapeutics, Inc.

Internal Address: _____

Street Address : 60 Executive Boulevard

City: Farmingdale State: NY Zip: 11735-4716

Additional name(s) & address(es) attached? ☐ Yes ☐ No

3. Nature of conveyance:

☒ Assignment ☐ Merger☐ Security Agreement ☐ Change of Name☐ Other _____

Execution Date: March 20, 1997

4. Application number(s) or patent number(s): [number]

If this document is being filed together with a new application, the execution date of the application is: March 20, 1997

A. Patent Application No.(s)

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☐ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Ronald C. Fedus, Esq.

Enzo Therapeutics, Inc.

c/o Enzo Biochem, Inc., 527 Madison Avenue, 9th Floor,
New York, New York 10028 (212) 583-0100

6. Total number of applications and patents: 1

7. Total fee (37 CFR 3.41): \$40.00

☐ Enclosed☐ Deficiencies in fees are authorized to be charged to deposit account

8. Deposit account number: 05-1135

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Ronald C. Fedus

Name of Person Signing

Signature

March 20, 1997

Date

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Attorney's Docket No. ENZ-56

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Deposit Date MARCH 20, 1997

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Ronald C. Fedus
Reg. No. 32,567

Date

MAR 20 1997